



07-11-01

DAC &

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mathew, et al. Examiner: Hallman
Serial No.: 09/475,365 Group Art Unit: Unknown
Filed: December 30, 1999 Docket: 40170.3US01
Title: LLT USES THEREOF IN IMMUNE SYSTEM MODULATION

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL719665514US

Date of Deposit: July 9, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By: Marcie Hanson
Marcie Hanson

DAC, OFFICE OF PETITIONS
Commissioner for Patents
Washington, D.C. 20231

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JUL 12 2001

Sir:

OFFICE OF PETITIONS
DEPUTY A/C PATENTS

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Petition for Revival of Application for Patent Abandoned Unintentionally Under 37 CFR 1.137(b)
- ☒ Copy of Notice to File Missing Parts
- ☒ Signed Declaration
- ☒ Signed Power of Attorney
- ☒ Small entity status is claimed pursuant to 37 C.F.R. 1.27
- ☒ Check(s) in the amount of \$685 for Petition for Revival Fee (\$620), Response to Missing Parts Notice (\$65)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
303.357.1660

By: Denise L. Mayfield
Name: Denise L. Mayfield
Reg. No.: 33,732
DLMayfield/meh





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CERTIFICATE OF FACSIMILE TRANSMISSION: The undersigned hereby certifies that this Transmittal Letter and the papers, as described herein, are being sent by facsimile transmission to 1-703-305-9863 addressed to: Commissioner for Patents, Attention Examiner Hallman, Washington, D.C. 20231, on June 4, 2001.

By:

Marcie Hanson
Marcie Hanson

Commissioner for Patents
Washington, D.C. 20231

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By:

Denise L. Mayfield
Name: Denise L. Mayfield
Reg. No.: 33,732
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Merchant & Gould

An Intellectual Property Law Firm

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80265-0100 USA
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FAX 303.357.1671
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Fax Transmission | June 4, 2001

TO: Assistant Commissioner for
Patents
Attn: Examiner Hallman
Patent Examining Corps
Facsimile Center
Washington, D.C. 20231

FROM: Denise L. Mayfield

OUR REF: 40170.3US01
TELEPHONE: 303.357.1660

Total pages, including cover letter:

PTO FAX NUMBER 1-702-305-9863

If you do NOT receive all of the pages, please telephone us at 303.357.1660, or fax us at 303.357.1671.

Title of Document Transmitted:	<u>Formal Documents</u>
Applicant:	<u>Mathew, et al.</u>
Serial No.:	<u>09/475,365</u>
Filed:	<u>December 30, 1999</u>
Our Ref. No.:	<u>40170.3US01</u>

MESSAGE: Dear Examiner Hallman:

I am the attorney of record in the captioned case. I have not received a Notice to File Missing Parts in the captioned patent application. For some time we have been attempting to obtain copies of the file wrapper but have been unable to do so because the application has been in the Receiving Office. I received your voice mail message that a Notice to File Mission Parts in fact issued in the application. I am filing herewith the formal documents in the case to you directly. Please give me a call should you have any questions. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: Denise L. Mayfield
Name: Denise L. Mayfield
Reg. No.: 33,732

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Marcie Hanson June 4, 2001
Marcie Hanson Date



APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE



DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$_____.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

MEMORY TRANSMISSION REPORT



TIME : JUN-04-01 03:12PM
 TEL NUMBER1: 3033571671
 TEL NUMBER2: 3033571671
 NAME : MERCHANT & GOULD

FILE NUMBER : 530
 DATE : JUN-04 03:06PM
 TO : 17033059863
 DOCUMENT PAGES : 16
 START TIME : JUN-04 03:06PM
 END TIME : JUN-04 03:12PM
 SENT PAGES : 16
 FILE NUMBER : 530

*** SUCCESSFUL TX NOTICE ***

Merchant & Gould

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Fax Transmission | June 4, 2001

TO: Assistant Commissioner for
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 Attn: Examiner Hallman
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Formal Documents

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Marcie Hanson June 4, 2001
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